

Customer Code:

Individual KYC form for Directors/ Partners/ Office bearers/ Trustees/etc.

Name of the Entity :

Personal details

Full Name :

NIC/PP No :

Address :

Occupation/Employment/Designation :

Telephone number :

Email :

Please tick (v) appropriate box below

Position

Director ☐ Partner ☐ Office bearer ☐ Trustee ☐ Other ☐ Please Specify
if Office bearer /Other
.....

Citizenship

Sri Lankan ☐

Sri Lankan working overseas (work permit) ☐

If Sri Lankan working overseas/PR

Country

Permanent Resident of other country ☐

Foreign National ☐

If Foreign National/Dual Citizen

Nationality/Dual citizenship.....

Dual citizen ☐

If Resident Foreign National

Type of residency/VISA.....

Expiry date of residency/VISA.....

Are you a US person in terms of the Foreign Account Tax Compliance Act (FATCA)?

Yes

☐

No

☐

If yes, FATCA declaration has to be submitted along with the application form.

If no, in the event if I become a US person under FATCA, I do hereby undertake to inform the said fact to HNB Securities Ltd. immediately.

Politically Exposed Persons (PEPs)

Are you an individual who is or has been entrusted domestically/ internationally with prominent public function? For example, Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.

Are you an individual who is related to a PEP either directly or through marriage or similar (civil) forms of partnership?

Are you an individual who is closely connected to a PEP, either socially or professionally?

Yes

☐

No

☐

If yes, specify details

I hereby confirm that the information given is true and correct and agree to give notice in writing of any change of particulars given.

Signature

Date.....

For Official Use

Risk Category

Low

☐

Medium

☐

High

☐

Signature

.....

Date